

## **Form ADV – Part 2B**

# **Livelsberger Financial Advisory Brochure Supplement**

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This Brochure Supplement provides information about the qualifications and business practices of Lynn Livelsberger that supplements the Livelsberger Financial Advisory Brochure. You should have received a copy of that brochure. Please contact Lynn Livelsberger, President/CEO, if you did not receive Livelsberger Financial Advisory's Brochure. If you have any questions about the contents of this Brochure Supplement, please contact our office, (419) 289-7165 or [info@livelsbergerfinancial.com](mailto:info@livelsbergerfinancial.com). The information in this Brochure Supplement has not been approved or verified by the United States Securities and Exchange Commission (SEC) or by any state securities authority.

Livelsberger Financial Advisory is a registered investment adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provide you with information about which you determine to hire or retain an Adviser.

Additional information about Livelsberger Financial Advisory is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

Lynn Livelsberger, President/CEO of Livelsberger Financial Advisory, holds a Bachelor of Education Degree from University of Akron (1969) as well as a Master's Degree in Education from Western Kentucky University (1972). Mr. Livelsberger business background and experiences consist of the following:

- Entry into financial services industry (1979)
- Wisconsin National Life Insurance Company - insurance agent/license
- Consolidated Resources, Atlanta, Georgia – Regional Vice President (1986), Series 22
- Windsor Corporation, Escondido, California – Regional Representative (1992)
- Livelsberger Financial Advisory – President/CEO (1989-Present)

## **Item 3 – Disciplinary Information**

There have not been any Livelsberger Financial Advisory management personnel being found liable in any arbitration claim, legal or disciplinary events, or any other hearing or formal adjudication. In addition, there have not been any Livelsberger Financial Advisory management personnel being found liable in any criminal or civil action, self-regulatory organization proceeding, or administrative proceeding.

## **Item 4 – Other Business Activities**

Lynn Livelsberger is not actively engaged in board game distribution for SWL Retirement, Inc. There is no formal relationship, no economic benefit, nor any arrangement that Livelsberger Financial Advisory management or other personnel have with any issuer of securities. In addition, there is no Livelsberger Financial Advisory management or other personnel who receive commissions, bonuses, or other compensation based on the sale of securities or other investment products. As it pertains to 12-b-1 fees and commissions, Livelsberger Financial Advisory does not receive any 12-b-1 compensation. Please note, cheaper prices on some mutual funds do incur transaction costs. Also at time, some low-cost mutual funds have 12-b-1 compensation. However, Livelsberger Financial Advisory does not participate in either compensation arrangement. There are times Livelsberger Financial Advisory will recommend a client make a direct purchase of a mutual fund or single issue security through a tax-sheltered annuity program (TSA), 403(b) plan, 401(k) plan, 529 college savings plan, or non-qualified

annuity. In all cases, Livelsberger Financial Advisory does not have any arrangement or relationship with any issuer of securities or third-party security firms for any type or form of monetary gain. There are times Livelsberger Financial Advisory will recommend a client purchase a single-issue bond or other security being offered through various brokerage firms. In these instances, a “trade away” buy will be made by the client’s current custodial account. Once again, in all cases, our firm does not have any relationship or arrangement with any issuer of securities or third-party security firms.

#### **Item 5 – Additional Compensation**

Livelsberger Financial Advisory personnel, including supervised persons, do not engage in any form of performance-based fee structure for its services.

#### **Item 6 – Supervision**

Lynn Livelsberger, President/CEO of Livelsberger Financial Advisory (419-289-7165), is a registered investment adviser who is responsible for supervising his own advisory activities and those of Livelsberger Financial Advisory. Mr. Livelsberger is responsible for supervising all or any additional registered investment adviser(s) employed by Livelsberger Financial Advisory in the future.

#### **Item 7 – Requirements for State-Registered Advisers**

There have not been any Livelsberger Financial Advisory management personnel being found liable in any arbitration claim, civil proceeding, self-regulatory organization proceeding, or administrative proceeding. In addition, Lynn Livelsberger and Livelsberger Financial Advisory management personnel have not been the subject of a bankruptcy petition or proceeding at any time.